

# Sheaves on subanalytic sites and $\mathcal{D}$ -modules

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# What is a sheaf?

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Satisfying the following gluing conditions. Let  $U$  be open and let  $\{U_j\}_{j \in J}$  be a covering of  $U$ . We have the exact sequence

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- if  $s_j \in \Gamma(U_j; F)$  such that  $s_j = s_k$  on  $U_j \cap U_k$  then they glue to  $s \in \Gamma(U; F)$  (i.e.  $s|_{U_j} = s_j$ )



# Examples

Let us consider

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The correspondence

$$U \mapsto \Gamma(U; \mathcal{C}_X) = \{\text{continuous real valued functions on } U\}$$

**defines a sheaf** on  $X$

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- For example, let  $X = \mathbb{R}$ ,  $U_n = (-n, n)$ ,  $n \in \mathbb{N}$ , and  $s_n: U_n \rightarrow \mathbb{R}$ ,  $x \mapsto x^2$ . Then  $s_n$  is bounded on  $U_n$  for each  $n \in \mathbb{N}$ , but  $x \mapsto x^2$  is not bounded on  $\mathbb{R}$ .

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$\Rightarrow$  The correspondence  $U \mapsto \Gamma(U; \mathcal{C}_X^b) =$   
 $\{\text{continuous bounded real valued functions on } U\}$  **does not**  
**define a sheaf on  $X$ .**

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If we consider “less open subsets” and “less coverings” they may become sheaves. We need the notion of **site**.

# Topological sites

The definition of sheaf depends only on

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One can generalize this notion by choosing a **subfamily of open subsets**  $\mathcal{T}$  of  $X$  and for each  $U$  a **subfamily**  $\text{Cov}(U)$  of **coverings** of  $U$  satisfying suitable hypothesis (defining a **site**  $X_{\mathcal{T}}$ ).

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Then  $F : \mathcal{T} \rightarrow \text{Mod}(k)$  is a sheaf on  $X_{\mathcal{T}}$  if for each  $U \in \mathcal{T}$  and each  $\{U_j\}_{j \in J} \in \text{Cov}(U)$  we have the exact sequence

$$0 \rightarrow F(U) \rightarrow \prod_{j \in J} F(U_j) \rightarrow \prod_{j, k \in J} F(U_j \cap U_k)$$

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and consider the correspondence  $U \mapsto \Gamma(U; \mathcal{C}_X^b)$  (continuous bounded functions).

- If  $\{s_i\}$  are **bounded on a finite covering**  $\{U_i\}$  of  $U$ , such that  $s_i = s_j$  on  $U_i \cap U_j$ , then **there exists  $s$  bounded on  $U$  with  $s = s_i$  on each  $U_i$ .**



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defines a sheaf on  $X_{\mathcal{T}}$ .

# The general case

Let  $X$  be a topological space and consider a family of open subsets  $\mathcal{T}$  satisfying:

- $$\left\{ \begin{array}{l} \text{(i) } U, V \in \mathcal{T} \Leftrightarrow U \cap V, U \cup V \in \mathcal{T}, \\ \text{(ii) } U \setminus V \text{ has finite numbers of connected components } \forall U, V \in \mathcal{T}, \\ \text{(iii) } \mathcal{T} \text{ is a basis for the topology of } X. \end{array} \right.$$

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**Definition:** The site  $X_{\mathcal{T}}$  is defined by:

- open subsets: **elements of  $\mathcal{T}$**
- $\text{Cov}(U)$  (coverings of  $U \in \text{Op}(X_{\mathcal{T}})$ ): **finite coverings of  $U$**

# Examples

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- 2  $\mathcal{T} = \{\text{open relatively compact subanalytic subsets of a real analytic manifold}\}$ , the **subanalytic site**  $X_{sa}$ .
- 3  $\mathcal{T} = \{\text{open definable subsets of } N^n\}$ , given an O-minimal structure  $(N, <, \dots)$ , the site  $X_{def}$ .

# Construction of sheaves on $X_{\mathcal{T}}$

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is exact.

Then  $F$  is a sheaf on  $X_{\mathcal{T}}$ .

# Subanalytic sheaves

From now on we will consider the subanalytic site  $X_{sa}$ .

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# Subanalytic sets

Let  $U \subset \mathbb{R}^n$ . Let  $\mathcal{A}(U)$  denote the real-analytic valued functions. Let  $S(\mathcal{A}(U))$  be the smallest set of subsets of  $U$ , containing  $\{x \in U; f(x) > 0\}$  for all  $f \in \mathcal{A}(U)$ , and is closed under finite union, finite intersection and complement.

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**Definition:** A set  $S \in \mathbb{R}^n$  is **semianalytic** if and only if for each  $x \in \mathbb{R}^n$ , there exists a neighborhood  $U$  of  $x$ , such that  $S \cap U \in S(\mathcal{A}(U))$ . It means that  $S$  is **locally defined by analytic functions**.

# Subanalytic sets

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**Definition:** We say  $S \in \mathbb{R}^n$  is a **subanalytic** set if for each  $x \in \mathbb{R}^n$ , there exists a relatively compact semianalytic set  $X \subset \mathbb{R}^{n+m}$  and a neighborhood  $U$  of  $x$ , such that  $S \cap U$  is the **projection of  $X$**  onto the first  $n$  coordinates.



# Subanalytic sets

The family  $\text{Op}(X_{sa})$  of **open subanalytic relatively compact** satisfy

- $$\left\{ \begin{array}{l} \text{(i) } U, V \in \text{Op}(X_{sa}) \Leftrightarrow U \cap V, U \cup V \in \mathcal{T}, \\ \text{(ii) } U \setminus V \text{ has finite number of connected comp. } \forall U, V \in \text{Op}(X_{sa}), \\ \text{(iii) } \text{Op}(X_{sa}) \text{ is a basis for the topology of } X. \end{array} \right.$$

# Tempered functions

Let  $X$  be a real analytic manifold and let  $U \subset X$  be a relatively compact subanalytic open subset,  $f \in C^\infty$  on  $U$  is **tempered** if  $\exists M, C > 0$  such that

$$|f(x)| \leq \frac{C}{\text{dist}(x, \partial U)^M}.$$

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For example, let  $X = \mathbb{R}$ , then  $e^{1/x}$  is tempered (even bounded) on  $U_n = \{1/n < x < 1\}_{n \in \mathbb{N}}$  but it is not tempered on  $\cup U_n = \{0 < x < 1\}$ .

# Tempered functions

Anyway one can show that if  $U, V$  are open subanalytic the sequence

$$0 \rightarrow \mathcal{C}_X^{\infty, t}(U \cup V) \rightarrow \mathcal{C}_X^{\infty, t}(U) \oplus \mathcal{C}_X^{\infty, t}(V) \rightarrow \mathcal{C}_X^{\infty, t}(U \cap V)$$

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is exact. This implies that  $U \mapsto \mathcal{C}_X^{\infty, t}(U)$  is a **sheaf on the subanalytic site**  $X_{sa}$ .

# Fibers

In the case of subanalytic sheaves we **do not have the notion of fibers** in the usual sense, i.e. if we consider

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there are  $F \not\cong G$  even if  $F_x \cong G_x \forall x \in X$ .

**Example:** Let  $X = \mathbb{R}$  and consider the sheaves  $\mathcal{C}_{\mathbb{R}}$  and  $\mathcal{C}_{\mathbb{R}}^b$ . Then  $\mathcal{C}_{\mathbb{R},x} \cong \mathcal{C}_{\mathbb{R},x}^b \forall x \in \mathbb{R}$ . Indeed, any continuous function  $f$  in  $(x - \varepsilon, x + \varepsilon)$ ,  $\varepsilon > 0$  is bounded in  $(x - \varepsilon/2, x + \varepsilon/2)$ .



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We **need to consider more points**.

# Spectral topology

Let us consider a countable locally finite covering  $\{U_n\}_{n \in \mathbb{N}}$  of  $X$ , with  $U_n \simeq \mathbb{R}^n$  relatively compact and subanalytic.

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We call  $\tilde{X}$  the associated topological space. In  $\tilde{X}$  any covering of a **relatively compact** subanalytic open subset **has a finite subcover**.

# Example

For example, the points of  $\widetilde{\mathbb{R}}$  are the following. Let  $x \in \mathbb{R}$

- 1  $\{\mathcal{S} \text{ subanalytic, } \mathcal{S} \supseteq x\}$  (the point  $x$ )
- 2  $\{\mathcal{S} \text{ subanalytic, } \mathcal{S} \supseteq (x, x + \varepsilon), \varepsilon > 0\}$  (the point  $x^+$ )
- 3  $\{\mathcal{S} \text{ subanalytic, } \mathcal{S} \supseteq (x - \varepsilon, x), \varepsilon > 0\}$  (the point  $x^-$ )

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Thanks to these new points we can distinguish  $\mathcal{C}_{\mathbb{R}}$  from  $\mathcal{C}_{\mathbb{R}}^b$  on  $\widetilde{\mathbb{R}}$ . For example let  $f = x^{-1}$ . Then  $f \notin \mathcal{C}_{\mathbb{R}}^b(0, \varepsilon) \forall \varepsilon > 0$ .



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# Topological and subanalytic sheaves

## Theorem:

Let  $X$  be a real analytic manifold. The categories  $\text{Mod}(k_{X_{sa}})$  and  $\text{Mod}(k_{\tilde{X}})$  are equivalent.

Hence, if we want to **work on fibers** on  $X_{sa}$ , we have to consider the topological space  $\tilde{X}$ .

# Operations

## Theorem:

Let  $f : X \rightarrow Y$  be a morphism of real analytic manifolds. The six Grothendieck operations  $\mathcal{H}om$ ,  $\otimes$ ,  $f_*$ ,  $f^{-1}$ ,  $f_{!!}$ ,  $f^!$  are well defined for subanalytic sheaves.

L. PRELLI *Sheaves on subanalytic sites*, Rendiconti del Seminario Matematico dell'Università di Padova Vol. 120 (2008).

# The ring of differential operators

Let  $X$  be a complex analytic manifold. We denote by  $\mathcal{D}_X$  the sheaf of rings of differential operators. Locally, a section of  $\Gamma(U; \mathcal{D}_X)$  may be written as  $P = \sum_{|\alpha| \leq m} a_\alpha(z) \partial_z^\alpha$  with  $a_\alpha(z)$  **holomorphic** on  $U$ .

We denote by  $\text{Mod}(\mathcal{D}_X)$  the category of  $\mathcal{D}_X$ -modules.

## Subanalytic sheaves and solutions

The subanalytic sheaf  $\mathcal{O}_X^t$  of **tempered holomorphic functions** has a structure of  **$\rho! \mathcal{D}_X$ -module**.  $(\Gamma(U; \rho! \mathcal{D}_X))$  are differential operators  $\sum_{|\alpha| \leq m} a_\alpha \partial_Z^\alpha$  with  $a_\alpha$  holomorphic in  $\bar{U}$ )

# Characteristic variety

Let  $T^*X \xrightarrow{\pi} X$  be the cotangent bundle and let  $\mathcal{S} \subset \pi^{-1}\mathcal{D}_X$

$$\mathcal{S}_{(x,\xi)} = \{P \in \pi^{-1}(\mathcal{D}_X) ; \sigma^{-1}(P)(x, \xi) \neq 0\}$$

where  $\sigma(P)$  is the principal symbol of  $P$ . Set  $\mathcal{E}_X = \mathcal{S}^{-1}(\pi^{-1}\mathcal{D}_X)$ .

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In  $\mathcal{E}_X$  every  $P$  with  $\sigma(P)(x, \xi) \neq 0$  is (locally) invertible

## Characteristic variety

**Definition:** The characteristic variety  $\text{Char}(\mathcal{M})$  of a  $\mathcal{D}_X$ -module  $\mathcal{M}$  is the support of  $\mathcal{E}_X \otimes_{\pi^{-1}\mathcal{D}_X} \pi^{-1}\mathcal{M}$ .



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**Example:** If  $P$  is a differential operator and  $\mathcal{M} = \mathcal{D}_X/\mathcal{D}_X P$  (i.e.  $\mathcal{M} = \text{coker}(\mathcal{D}_X \xrightarrow{P} \mathcal{D}_X)$ ),  $\text{Char}(\mathcal{M})$  is the zero locus of the principal symbol  $\sigma(P)$  of  $P$ .

This is because if  $\sigma(P) \neq 0$  then  $P$  (locally) has an inverse in  $\mathcal{E}_X$

## Characteristic variety

Let  $f : X \rightarrow Y$  be a morphism of complex analytic manifolds and let  $f_\pi : X \times_Y T^*Y \rightarrow T^*Y$  be the base change map.

**Definition:**  $f$  is non characteristic for  $\mathcal{M}$  if

$$f_\pi^{-1}(\text{Char}(\mathcal{M})) \cap T_X^*Y \subseteq X \times_Y T_Y^*Y$$

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**Example:** If  $Y = \mathbb{C}^n$ ,  $X = \{z_1 = 0\}$  and  $f : X \hookrightarrow Y$ ,  $\mathcal{M} = \mathcal{D}_X / \mathcal{D}_X P$  with  $P = \sum_{|\alpha| \leq m} a_\alpha(z) \partial_z^\alpha$  then  $f$  is non characteristic if the coefficient of  $\partial_{z_1}^m$  is  $\neq 0$  on  $X$

# Complex of solutions

The sheaf of **tempered holomorphic functions** has a structure of  $\rho_! \mathcal{D}_X$ -module.  $(\Gamma(U; \rho_! \mathcal{D}_X))$  are differential operators  $\sum_{|\alpha| \leq m} a_\alpha \partial_z^\alpha$  with  $a_\alpha$  holomorphic in  $\overline{U}$

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Let  $\mathcal{M}$  be a coherent  $\mathcal{D}_X$ -module. We denote by  $Sol^t(\mathcal{M})$  the complex  $R\mathcal{H}om_{\rho_! \mathcal{D}_X}(\rho_! \mathcal{M}, \mathcal{O}_X^t)$

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In the case  $\mathcal{M} = \mathcal{D}_X / \mathcal{D}_X P$  and  $U$  convex  $Sol^t(\mathcal{M})$  on  $U$  is the complex

$$\Gamma(U; \mathcal{O}_X^t) \xrightarrow{P} \Gamma(U; \mathcal{O}_X^t).$$

$$H^0(U; Sol^t(\mathcal{M})) = \{s \in \Gamma(U; \mathcal{O}_X^t), Ps = 0\} = \ker P$$

$$H^1(U; Sol^t(\mathcal{M})) = \Gamma(U; \mathcal{O}_X^t) / P\Gamma(U; \mathcal{O}_X^t) = \operatorname{coker} P$$

# Cauchy-Kowaleskaya-Kashiwara

## Theorem:

Let  $\mathcal{M}$  be a coherent  $\mathcal{D}_Y$ -module and suppose that  $f$  is non characteristic for  $\mathcal{M}$ . Then  $f^{-1} \text{Sol}^t(\mathcal{M}) \simeq \text{Sol}^t(f^{-1} \mathcal{M})$ .

## The case of 1 operator

Let  $Y = \mathbb{C}^n$ ,  $X = \{z_1 = 0\}$  and let  $f : X \hookrightarrow Y$ . Suppose that  $U$  is a convex open subanalytic subset of  $X$  and  $\mathcal{M} = \mathcal{D}_Y / \mathcal{D}_Y P$ , with  $P = \sum_{|\alpha| \leq m} a_\alpha(z) \partial_z^\alpha$  with  $a_{(m,0,\dots,0)}(z) \neq 0$  on  $X$ .



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In this case  $\underline{f}^{-1} \mathcal{M} \simeq \mathcal{D}_X^m$ .

## The case of 1 operator

We are reduced to the isomorphism

$$\begin{aligned} \Gamma(U; f^{-1} \text{Sol}^t(\mathcal{M})) &\xrightarrow{\sim} (\Gamma(U; \mathcal{O}_X^t))^m \\ \mathbf{s} &\mapsto (\mathbf{s}|_X, \partial_{z_1} \mathbf{s}|_X, \dots, \partial_{z_1}^{m-1} \mathbf{s}|_X) \end{aligned}$$

where  $\mathbf{s} \in \Gamma(V; \mathcal{O}_Y^t)$  with  $V \in \text{Op}(Y_{sa})$ ,  $V \cap X = U$

## The case of 1 operator

i.e. to the existence and uniqueness of the solution of

$$\begin{cases} Ps = 0 \\ \partial^k s|_X = g_k \quad k = 0, \dots, m-1 \end{cases}$$

for any  $(g_k)_{k=0}^{m-1} \in (\Gamma(U; \mathcal{O}_X^t))^m$ .

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Moreover we have  $H^1(U; f^{-1} \text{Sol}^t(\mathcal{D}_Y/\mathcal{D}_Y P)) = 0$ .

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Moreover we have  $H^1(U; f^{-1} \text{Sol}^t(\mathcal{D}_Y/\mathcal{D}_Y P)) = 0$ . For a neighborhood  $V$  of  $U$  in  $Y$  and  $s \in \Gamma(V; \mathcal{O}_Y^t)$  there exist  $U \subset V' \subseteq V$  and  $s' \in \Gamma(V'; \mathcal{O}_Y^t)$  such that  $Ps' = s|_{V'}$ .

# Normal deformation

$X$ : real  $n$ -dimensional analytic manifold

$M$ : closed submanifold of codimension  $\ell$

$T_M X$ : normal bundle.

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such that  $\begin{cases} p^{-1}(X \setminus M) & \text{isomorphic to } (X \setminus M) \times (\mathbb{R} \setminus \{0\}), \\ t^{-1}(c) & \text{isomorphic to } X \text{ for each } c \neq 0, \\ t^{-1}(0) & \text{isomorphic to } T_M X. \end{cases}$

# Example

$$X = \mathbb{C}$$

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$$\tilde{X}_0 \simeq \mathbb{C} \times \mathbb{R}$$

$$\begin{aligned} p : \tilde{X}_0 \simeq \mathbb{C} \times \mathbb{R} &\rightarrow \mathbb{C} \\ (z, r) &\mapsto zr \end{aligned}$$

# Specialization

Let  $\Omega = \mathbb{C} \times \mathbb{R}^+$

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Let  $x$  be a point of  $T_0\mathbb{C} \simeq \mathbb{C}$ . We have

$$\nu_0 F_x = \varinjlim_{U \ni x} F(U)$$

where  $U$  is a sector containing  $x$ .

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$$\nu_0 \mathcal{O}_{\mathbb{C}}^t \simeq \mathcal{A}^{\leq 0}$$

where  $\mathcal{A}^{\leq 0}$  is the sheaf (on the blow-up at 0) of holomorphic functions with moderate growth at the origin.



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Let  $\mathcal{O}_{\mathbb{C}}^w$  be the subanalytic sheaf of Whitney holomorphic functions. In that case we have

# Specialization

Let  $\mathcal{O}_{\mathbb{C}}^{\text{w}}$  be the subanalytic sheaf of Whitney holomorphic functions. In that case we have

$$\nu_0 \mathcal{O}_{\mathbb{C}}^{\text{w}} \simeq \mathcal{A}$$

where  $\mathcal{A}$  is the sheaf (on the blow-up at 0) of holomorphic functions asymptotically developable at the origin.

# Multi-specialization

It is possible to construct a normal deformation in  $\mathbb{C}^n$  with respect to  $D = \{z_1 \cdots z_\ell = 0\}$ ,  $\ell \leq n$ , and a specialization functor  $\nu_D$ .

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Applying this functor to the sheaf of Whitney holomorphic functions we obtain Majima's sheaf  $\mathcal{A}$  of functions strongly asymptotically developable.

# Sheaves on subanalytic sites and $\mathcal{D}$ -modules

Luca Prelli

Lisboa, 28 september 2011