

# Functorial properties of $\mathcal{D}[[\hbar]]$ -modules

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# Notations

- ▶  $X$  denotes a complex analytic manifold of dimension  $d_X$
- ▶  $\mathcal{O}_X$  denotes the ring of holomorphic functions on  $X$
- ▶  $\mathcal{D}_X$  denotes the ring of holomorphic differential operators on  $X$
- ▶  $\Omega_X$  denotes the sheaf of differential forms of maximal degree on  $X$
- ▶  $\text{SS}(F)$  denotes the microsupport of a sheaf  $F$
- ▶  $\text{char}(\mathcal{M})$  denotes the characteristic variety of a coherent  $\mathcal{D}_X$ -module  $\mathcal{M}$
- ▶  $\mathbb{C}^{\hbar} := \mathbb{C}[[\hbar]]$  denotes the ring of formal power series in  $\hbar$
- ▶  $\mathbb{C}^{\hbar, \text{loc}} := \mathbb{C}((\hbar))$  denotes the field of Laurent series in  $\hbar$

## Formal extensions

Consider the left exact functor:

$$\begin{aligned}(\bullet)^{\hbar} : \text{Mod}(\mathbb{C}_X) &\rightarrow \text{Mod}(\mathbb{C}_X^{\hbar}), \\ F &\mapsto F^{\hbar} := \varprojlim_n (F \otimes \mathbb{C}_X^{\hbar} / \hbar^n \mathbb{C}_X^{\hbar}).\end{aligned}$$

In particular one obtains the ring  $\mathcal{D}_X^{\hbar}$  of holomorphic differential operators with a formal parameter  $\hbar$ .

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**Proposition:** [A. D'Agnolo, S. Guillermou, P. Schapira]

The objects belonging to the categories  $\text{Mod}_{\mathbb{R}-c}(\mathbb{C}_X)$ ,  $\text{Mod}_{\text{coh}}(\mathcal{O}_X)$  and  $\text{Mod}_{\text{coh}}(\mathcal{D}_X)$  are acyclic for the functor  $(\bullet)^{\hbar}$ .

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**Lemma:**

For  $F, G \in \text{D}^b(\mathbb{C}_X)$  we have an isomorphism in  $\text{D}^b(\mathbb{C}_X^{\hbar})$ :

$$\text{R}\mathcal{H}om_{\mathbb{C}_X}(F, G^{\text{R}\hbar}) \simeq \text{R}\mathcal{H}om_{\mathbb{C}_X}(F, G)^{\text{R}\hbar}.$$



## Cohomologically complete objects

### Definition:

One says that  $F \in \mathbf{D}^b(\mathbb{C}_X^{\hbar})$  is cohomologically complete if  $\mathbf{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(\mathbb{C}_X^{\hbar, \text{loc}}, F)$  vanishes.

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3. If  $F \in \mathbf{D}^b(\mathbb{C}_{\hbar}^X)$  is cohomologically complete and  $f : X \rightarrow Y$  is a morphism of complex manifolds, then  $\mathbf{R}f_*F$  is cohomologically complete.

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4. The formal extension  $F^{\mathbf{R}\hbar}$  of any  $F \in \mathbf{D}^b(\mathbb{C}_X)$  is cohomologically complete.

## Cohomologically complete objects

Let  $f : X \rightarrow Y$  be a morphism of complex manifolds and consider the natural maps

$$f_\pi : X \times_Y T^*Y \rightarrow T^*Y, \quad f_d : X \times_Y T^*Y \rightarrow T^*X,$$

and set  $T_X^*Y := f_d^{-1}(T_X^*X) \subset X \times_Y T^*Y$ .

$f$  is said to be non-characteristic for  $F \in \mathbf{D}^b(\mathbb{C}^{\hbar}_Y)$  if

$$T_X^*Y \cap f_\pi^{-1}(SS(F)) \subset X \times_Y T_Y^*Y.$$

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If  $f$  is non-characteristic for a cohomologically complete object  $F \in \mathbf{D}^b(\mathbb{C}^{\hbar}_Y)$ , then  $f^{-1}F$  is also cohomologically complete.

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### Proof.

The result follows from the isomorphism

$$\mathbf{R}\mathcal{H}om_{\mathbb{C}^{\hbar}_X}(\mathbb{C}_X^{\hbar, \text{loc}}, f^! \mathcal{M}) \simeq f^! \mathbf{R}\mathcal{H}om_{\mathbb{C}^{\hbar}_Y}(\mathbb{C}_Y^{\hbar, \text{loc}}, \mathcal{M}).$$



## Graduate functor

Consider also the right exact functor that associates to each  $F \in \text{Mod}(\mathbb{C}_{\hbar}^X)$  the quotient  $F/\hbar F \in \text{Mod}(\mathbb{C}_X)$ .

Its left derived functor is called the graduate functor:

$$\begin{aligned} \text{gr}_{\hbar} : \text{D}^b(\mathbb{C}_{\hbar}^X) &\rightarrow \text{D}^b(\mathbb{C}_X), \\ F &\mapsto F \otimes_{\mathbb{C}_{\hbar}^X}^{\text{L}} \mathbb{C}_X. \end{aligned}$$

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- (a) The functor  $\text{gr}_{\hbar}$  is conservative when restricted to cohomologically complete objects.
- (b) Assume that  $\mathcal{M} \in \text{D}^b(\mathcal{D}_X^{\hbar})$  is cohomologically complete and that  $\text{gr}_{\hbar}(\mathcal{M})$  belongs to  $\text{D}_{\text{coh}}^b(\mathcal{D}_X)$ . Then,  $\mathcal{M} \in \text{D}_{\text{coh}}^b(\mathcal{D}_X^{\hbar})$ .

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- Assume that  $\mathcal{M}$  is a cohomologically complete  $\mathcal{D}_X^{\hbar}$ -module with no  $\hbar$ -torsion such that  $\mathcal{M}/\hbar\mathcal{M}$  is flat over  $\mathcal{D}_X$ . Then  $\mathcal{M}$  is flat over  $\mathcal{D}_X^{\hbar}$ .

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## Extension of functors for algebras of formal deformations

Let  $X$  and  $X'$  be complex manifolds and assume that  $\mathcal{B}'$  is a *suitable* basis of compact subsets of  $X'$ . Assume also that  $\mathcal{S}$  (resp.  $\mathcal{S}'$ ) is a full Serre subcategory of  $\text{Mod}_{\text{coh}}(\mathcal{D}_X^{\hbar})$  (resp.  $\mathcal{S}'$  is full abelian subcategory of  $\text{Mod}_{\text{coh}}(\mathcal{D}_{X'}^{\hbar})$  satisfying Condition 1).

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### Condition 1:

Each  $\mathcal{M} \in \mathcal{S}'|_U$  admits for each  $y \in U$  an open neighborhood  $V$  of  $y$ , such that for each submodule  $\mathcal{N}$  of  $\mathcal{M}|_V$ , if  $K \in \mathcal{B}'$  is contained in  $V$  then  $H^j(K; \mathcal{N}) = 0$  for any  $j > 0$ .

**Theorem:** [A.R.Martins, T.M. Fernandes, D.R.]

Let  $F : \mathcal{S} \rightarrow \mathcal{S}'$  be a right exact functor. Then  $F$  defines canonically a right exact functor

$$F^{\hbar} : \text{Mod}_{\mathcal{S}}(\mathcal{D}_X^{\hbar}) \rightarrow \text{Mod}(\mathcal{D}_{X'}^{\hbar}), \quad \mathcal{M} \mapsto F^{\hbar} := \varprojlim_n F(\mathcal{M}_n),$$

and if  $\mathcal{S}'$  is a subcategory of  $\text{Mod}_{\text{coh}}(\mathcal{D}_{X'}^{\hbar})$  then  $F^{\hbar}$  takes values in  $\text{Mod}_{\mathcal{S}'}(\mathcal{D}_{X'}^{\hbar})$ . Moreover, when  $F$  is exact,  $F^{\hbar}$  is also exact.

## The inverse image extension

Let  $f : X \rightarrow Y$  be a morphism of complex manifolds. Consider the right exact functor in the  $\mathcal{D}$ -modules framework:

$$\begin{aligned} \underline{f}^* : \text{Mod}(\mathcal{D}_Y) &\rightarrow \text{Mod}(\mathcal{D}_X), \\ \mathcal{M} &\mapsto \mathcal{O}_X \otimes_{f^{-1}\mathcal{O}_Y} f^{-1}\mathcal{M}. \end{aligned}$$



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For our purpose we can consider  $\mathcal{S}'$  as the whole category of  $\mathcal{D}_X$ -modules that satisfy Condition 1.

### Proposition:

If  $\mathcal{M}$  is a coherent  $\mathcal{D}_Y$ -module, then  $\underline{f}^*(\mathcal{M})$  is an object of  $\mathcal{S}'$ .

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### Corollary:

The functor  $\underline{f}^*$  extends canonically to a right exact functor:

$$\begin{aligned} \underline{f}^{*,\hbar} : \text{Mod}_{\text{coh}}(\mathcal{D}_Y^{\hbar}) &\rightarrow \text{Mod}(\mathcal{D}_X^{\hbar}), \\ \mathcal{M} &\mapsto \underline{f}^{*,\hbar}(\mathcal{M}) := \varprojlim_n (\underline{f}^*(\mathcal{M}_n)). \end{aligned}$$

## Transfer module

Let  $f : X \rightarrow Y$  be a morphism of complex manifolds and consider the object:

$$\mathcal{K} := \mathcal{D}_{X \rightarrow Y, \hbar} = \underline{f}^{*, \hbar}(\mathcal{D}_Y^{\hbar}) = \varprojlim_n (\mathcal{O}_X \otimes_{f^{-1}\mathcal{O}_Y} f^{-1}(\mathcal{D}_{Yn}^{\hbar})).$$

We remark that  $\mathcal{K}$  is a  $(\mathcal{D}_X^{\hbar}, f^{-1}\mathcal{D}_Y^{\hbar})$ -bimodule.

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### Properties:

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4. For each  $\mathcal{M} \in \mathrm{D}_{\mathrm{coh}}^{\mathrm{b}}(\mathcal{D}_Y^{\hbar})$ ,  $\mathcal{K} \otimes_{f^{-1}(\mathcal{D}_X^{\hbar})}^{\mathrm{L}} f^{-1}\mathcal{M}$  is cohomologically complete.

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5. For each  $\mathcal{M} \in \mathrm{Mod}_{\mathrm{coh}}(\mathcal{D}_Y^{\hbar})$ ,  $\underline{f}^{*, \hbar}(\mathcal{M})$  is cohomologically complete.



# Transfer module

## Proof:

1. In fact the components of the projective limits coincide, that is, for each  $n$  we have:  $\mathcal{D}_{X \rightarrow Y} \otimes_{\mathbb{C}_X} \mathbb{C}_X^{\hbar} / \hbar^n \mathbb{C}_X^{\hbar} \simeq \mathcal{O}_X \otimes_{f^{-1}\mathcal{O}_Y} f^{-1}(\mathcal{D}_{Y_n}^{\hbar})$ .

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2. It is a consequence of 1.
3. The idea is to prove that  $\mathcal{D}_{X \rightarrow Y}^{\text{R}\hbar} \simeq \mathcal{D}_{X \rightarrow Y}^{\hbar} \simeq \mathcal{H}$ .
4. We can replace  $\mathcal{M} \in \text{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_Y^{\hbar})$  by a local free resolution of finite rank:  $\mathcal{D}_Y^{\hbar, \bullet} \xrightarrow{\text{qis}} \mathcal{M}$ . Then we obtain a quasi-isomorphism:

$$\mathcal{H}^{\bullet} \xrightarrow{\text{qis}} \mathcal{H} \otimes_{f^{-1}\mathcal{D}_Y^{\hbar}}^{\text{L}} f^{-1}\mathcal{M}$$

and the result follows from 3.

5. Since  $\mathcal{M}$  is a coherent  $\mathcal{D}_Y^{\hbar}$ -module we may consider a finite presentation of  $\mathcal{M}$ :

$$\mathcal{D}_Y^{\hbar, \oplus M} \rightarrow \mathcal{D}_Y^{\hbar, \oplus N} \rightarrow \mathcal{M} \rightarrow 0.$$

Applying the right exact functor  $\underline{f}^{*, \hbar}$  we obtain the exact sequence below:

$$\mathcal{K}^{\oplus M} \rightarrow \mathcal{K}^{\oplus N} \rightarrow \underline{f}^{*, \hbar}(\mathcal{M}) \rightarrow 0.$$

Since the category of cohomologically complete modules is thick, the result follows from 3.

## The inverse image in the derived category

### Proposition:

For  $\mathcal{M} \in \text{Mod}_{\text{coh}}(\mathcal{D}_X^{\hbar})$ , we have an isomorphism in  $\text{Mod}(\mathcal{D}_Y^{\hbar})$ :

$$\mathcal{K} \otimes_{f^{-1}(\mathcal{D}_X^{\hbar})} f^{-1} \mathcal{M} \xrightarrow{\sim} \underline{f}^{*,\hbar}(\mathcal{M}). \quad (1)$$

### Proof:

Step 1: first we construct morphisms

$$\mathcal{K} \otimes_{f^{-1}\mathcal{O}_Y^{\hbar}} f^{-1} \mathcal{M} \rightarrow \mathcal{O}_X \otimes_{f^{-1}\mathcal{O}_Y} f^{-1} \mathcal{M}_n.$$

Applying the universal property of projective limits we get the canonical morphism (1).

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Applying the universal property of projective limits we get the canonical morphism (1).

Step 2: if  $\mathcal{M}$  is a free  $\mathcal{D}_X^{\hbar}$ -module of finite rank, say  $\mathcal{D}_X^{\hbar, \oplus M}$ , then (1) is an isomorphism since both sides are isomorphic to  $\mathcal{K}^{\oplus M}$ .

## The inverse image in the derived category

Step 3: to prove the general case we take a finite presentation of  $\mathcal{M}$ :

$$\mathcal{D}_Y^{\hbar, \oplus M} \rightarrow \mathcal{D}_Y^{\hbar, \oplus N} \rightarrow \mathcal{M} \rightarrow 0.$$

Applying the right exact functors  $\mathcal{K} \otimes_{f^{-1}\mathcal{D}_Y^{\hbar}} f^{-1} \bullet$  and  $\underline{f}^{*, \hbar}$ , we get a commutative diagram:

$$\begin{array}{ccccccc} \mathcal{K}^{\oplus M} & \longrightarrow & \mathcal{K}^{\oplus N} & \longrightarrow & \mathcal{K} \otimes_{f^{-1}\mathcal{D}_Y^{\hbar}} f^{-1}\mathcal{M} & \longrightarrow & 0 \\ \downarrow \sim & & \downarrow \sim & & \downarrow & & \downarrow \sim \\ \mathcal{K}^{\oplus M} & \longrightarrow & \mathcal{K}^{\oplus N} & \longrightarrow & \underline{f}^{*, \hbar}(\mathcal{M}) & \longrightarrow & 0 \end{array}$$

The conclusion follows by the five lemma.



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The conclusion follows by the five lemma.

### Corollary:

The functor  $\underline{f}^{*, \hbar}$  is left derivable and for  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})$  we have:

$$\underline{f}^{*, \hbar}(\mathcal{M}) \simeq \mathcal{K} \otimes_{f^{-1}(\mathcal{D}_X^{\hbar})}^{\mathbf{L}} f^{-1} \mathcal{M}.$$

## The case of non-characteristic modules

Recall that one says that  $f$  is non-characteristic for a coherent  $\mathcal{D}_Y$ -module  $\mathcal{M}$  if:

$$T_X^*Y \cap f_{\pi}^{-1} \text{char}(\mathcal{M}) \subset X \times_Y T_Y^*Y.$$

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Denote by  $NC(f)$  the full Serre subcategory of  $\text{Mod}_{\text{coh}}(\mathcal{D}_Y)$  consisting of non-characteristic  $\mathcal{D}_Y$ -modules for  $f$ .

It is well-known that the functor  $\underline{f}^*$  restricted to  $NC(f)$  is an exact functor that takes values in  $\text{Mod}_{\text{coh}}(\mathcal{D}_X)$ .

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### Corollary:

We have an exact functor  $\underline{f}^{*,\hbar} : \text{Mod}_{NC(f)}(\mathcal{D}_Y^{\hbar}) \rightarrow \text{Mod}_{\text{coh}}(\mathcal{D}_X^{\hbar})$ .

# Cauchy-Kowalevsky-Kashiwara theorem

**Theorem:** [A.R. Martins, T. Monteiro Fernandes, D.R.]

Assume that  $\mathcal{M}$  is a non-characteristic  $\mathcal{D}_Y^{\hbar}$ -module. Then one has a natural isomorphism in  $D^b(\mathbb{C}_X^{\hbar})$ :

$$f^{-1}R\mathcal{H}om_{\mathcal{D}_Y^{\hbar}}(\mathcal{M}, \mathcal{O}_Y^{\hbar}) \xrightarrow{\sim} R\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(f^{*,\hbar}(\mathcal{M}), \mathcal{O}_X^{\hbar}). \quad (2)$$

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**Proof:**

Step 1: we define the morphism (2) using the canonical morphism

$$f^{-1}R\mathcal{H}om_{\mathcal{D}_Y^{\hbar}}(\mathcal{M}, \mathcal{O}_Y^{\hbar}) \rightarrow R\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{K} \otimes_{f^{-1}\mathcal{D}_Y^{\hbar}}^L f^{-1}\mathcal{M}, \mathcal{K} \otimes_{f^{-1}\mathcal{D}_Y^{\hbar}}^L f^{-1}\mathcal{O}_Y^{\hbar})$$

and proving that the inverse image of  $\mathcal{O}_Y^{\hbar}$  is  $\mathcal{O}_X^{\hbar}$ .

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and proving that the inverse image of  $\mathcal{O}_Y^{\hbar}$  is  $\mathcal{O}_X^{\hbar}$ . Step 2: both sides of (2) are cohomologically complete. Step 3: when we apply  $\text{gr}_{\hbar}$  to the morphism (2) we obtain the CKK isomorphism in the  $\mathcal{D}_X$ -modules framework. Hence, (2) is also an isomorphism.



## Extraordinary inverse image

Similarly to the case of  $\mathcal{D}$ -modules, one considers the duality functor:

$$\begin{aligned} \mathbb{D}_{\hbar} : \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})^{\text{op}} &\rightarrow \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar}), \\ \mathcal{M} &\mapsto \mathbf{R}\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{M}, \mathcal{D}_X^{\hbar} \otimes_{\mathcal{O}_X} \Omega_X^{\otimes -1})[d_X]. \end{aligned}$$

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We define the so called extraordinary inverse image:

$$\underline{\mathbb{L}}f^{!,\hbar} : \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_Y^{\hbar}) \rightarrow \mathbf{D}^{\text{b}}(\mathcal{D}_X^{\hbar}), \quad \mathcal{M} \mapsto \mathbb{D}_{\hbar}(\underline{\mathbb{L}}f^{*,\hbar}(\mathbb{D}_{\hbar}(\mathcal{M}))).$$

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Recall that  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})$  is said to be holonomic if  $\text{gr}_{\hbar}(\mathcal{M})$  is holonomic in the  $\mathcal{D}$ -module sense. Hence, the functors  $\mathbb{D}_{\hbar}$ ,  $\underline{\mathbb{L}}f^{*,\hbar}$ , and  $\underline{\mathbb{L}}f^{\hbar,!}(\mathcal{M})$  preserve holonomicity as a consequence of the analogous properties for  $\mathcal{D}$ -modules.

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## Direct image

Still denote by  $f : X \rightarrow Y$  a morphism of complex manifolds.

We introduce the functors of direct image and proper direct image of  $\mathcal{D}^{\hbar}$ -modules associated to  $f$ , respectively denoted by  $R\underline{f}_*$ ,

$R\underline{f}_! : D^b(\mathcal{D}_X^{\hbar \text{op}}) \rightarrow D^b(\mathcal{D}_Y^{\hbar \text{op}})$ , and defined by:

$$R\underline{f}_*(\mathcal{M}) := Rf_*(\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^L \mathcal{K}),$$

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**Theorem:** [A.R.Martins, T.Monteiro Fernandes,D.R.]

Suppose that  $\mathcal{M} \in D_{\text{good}}^b(\mathcal{D}_X^{\hbar \text{OP}})$  (resp.  $\mathcal{M} \in D_{\text{hol}}^b(\mathcal{D}_X^{\hbar \text{OP}})$ ) and that  $f$  is proper when restricted to  $\text{supp}(\mathcal{M})$ . Then,

$$R\underline{f}_*^{\hbar}(\mathcal{M}) \in D_{\text{good}}^b(\mathcal{D}_Y^{\hbar \text{OP}})$$

(resp.  $R\underline{f}_!^{\hbar}(\mathcal{M}) \in D_{\text{hol}}^b(\mathcal{D}_Y^{\hbar \text{OP}})$ .)

## The case of a closed immersion

Consider the case of a closed submanifold  $i : X \hookrightarrow Y$ . In this case  $\mathcal{D}_{X \rightarrow Y}$  is flat over  $\mathcal{D}_X$ . Hence,  $\mathcal{K} \simeq \mathcal{D}_{X \rightarrow Y}^{\hbar}$  is flat over  $\mathcal{D}_X^{\hbar}$ .

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Consider the exact functor

$$\underline{i}_* : \text{Mod}_{\text{coh}}(\mathcal{D}_X^{\text{op}}) \rightarrow \text{Mod}_{\text{coh}}(\mathcal{D}_Y^{\text{op}}), \quad \mathcal{M} \mapsto \underline{i}_*(\mathcal{M}) := i_*(\mathcal{M} \otimes_{\mathcal{D}_X} \mathcal{D}_{X \rightarrow Y}).$$

We can extend  $\underline{i}_*$  to an exact functor

$$\underline{i}_*^{\hbar} : \text{Mod}_{\text{coh}}((\mathcal{D}_X^{\hbar})^{\text{op}}) \rightarrow \text{Mod}_{\text{coh}}((\mathcal{D}_Y^{\hbar})^{\text{op}}),$$

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### Proposition:

The extension  $\underline{i}_*^{\hbar}$  coincides with the direct image previously defined.

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## Elliptic pairs: a generalization of elliptic systems

### Definition:

Let  $F \in \mathbf{D}_{\mathbb{R}-c}^b(\mathbb{C}_X)$  and  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^b(\mathcal{D}_X)$ . The pair  $(\mathcal{M}, F)$  is an  $f$ -elliptic pair (over  $\mathbb{C}$ ) if:

$$\text{char}_f(\mathcal{M}) \cap \text{SS}(F) \subset T_X^* X.$$

Let  $a_X : X \rightarrow \{\text{pt}\}$  denote the constant map. One says that  $(\mathcal{M}, F)$  is an elliptic pair if it is an  $a_X$ -elliptic pair.

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### Example:

For  $X = \mathbb{C}^n$  and  $M = \mathbb{R}^n$ , the Laplace operator  $\Delta$  yields an elliptic  $\mathcal{D}_X$ -module  $\mathcal{D}_X / \mathcal{D}_X \Delta$ .

# Regularity, finiteness and duality for $f$ -elliptic pairs

**Theorem:** [P. Schapira, J.P. Schneiders]

Let  $(\mathcal{M}, F)$  be an  $f$ -elliptic pair. Then the canonical morphism below is an isomorphism:

$$F \otimes_{\mathbb{C}_X} (\mathcal{M} \otimes_{\mathcal{D}_X}^{\mathbb{L}} \mathcal{D}_{X \rightarrow Y}) \rightarrow \mathbf{R}\mathcal{H}om_{\mathbb{C}_X}(D'F, \mathcal{M} \otimes_{\mathcal{D}_X}^{\mathbb{L}} \mathcal{D}_{X \rightarrow Y}).$$

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Moreover, assume that  $(\mathcal{M}, F)$  is a good  $f$ -elliptic pair with  $f$ -proper support. Then,  $\underline{f}_!(\mathcal{M} \otimes F)$  is an object of  $\mathbf{D}_{\text{gd}}^{\text{b}}(\mathcal{D}_Y^{\text{op}})$  and there is a duality isomorphism:

$$\underline{f}_!(\underline{\mathbf{D}}_X(\mathcal{M}) \otimes D'F) \xrightarrow{\sim} \underline{\mathbf{D}}_Y[f_!(\mathcal{M} \otimes F)].$$

# Regularity, finiteness and duality for $f$ -elliptic pairs

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Assume also that:

- ▶  $\mathcal{M}$  is a good  $\mathcal{D}_X$ -module
- ▶  $\text{supp}(\mathcal{M}) \cap \text{supp}(F)$  is compact

Then, the complexes

$$\mathbf{R}\Gamma(X, \mathbf{R}\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathbf{R}\mathcal{H}om_{\mathbb{C}_X}(F, \mathcal{O}_X)))$$

$$\mathbf{R}\Gamma(X, \Omega_X[d_X] \otimes_{\mathcal{D}_X}^{\mathbb{L}} (\mathcal{M} \otimes_{\mathbb{C}_X} F))$$

belong to  $D_f^b(\mathbb{C})$  and are dual one to each other.

## Elliptic pairs over $\mathbb{C}^{\hbar}$

### Definitions:

- (a) The  $\hbar$ -characteristic variety of  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})$  with respect to  $f$  is defined by  $\text{char}_{\hbar, f}(\mathcal{M}) := \text{char}_f(\text{gr}_{\hbar}(\mathcal{M}))$ .

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- (a) The  $\hbar$ -characteristic variety of  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^b(\mathcal{D}_X^{\hbar})$  with respect to  $f$  is defined by  $\text{char}_{\hbar, f}(\mathcal{M}) := \text{char}_f(\text{gr}_{\hbar}(\mathcal{M}))$ .
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- (c) An  $f$ -elliptic pair  $(\mathcal{M}, F)$  over  $\mathbb{C}^{\hbar}$  is the data of an object  $\mathcal{M}$  of  $\mathbf{D}_{\text{coh}}^b(\mathcal{D}_X^{\hbar})$  and an object  $F$  of  $\mathbf{D}_{\mathbb{R}-c}^b(\mathbb{C}^{\hbar}_X)$ , such that

$$\text{char}_{\hbar, f}(\mathcal{M}) \cap \text{SS}(F) \subset T_X^*X.$$

## Elliptic pairs over $\mathbb{C}^{\hbar}$

### Definitions:

- (a) The  $\hbar$ -characteristic variety of  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})$  with respect to  $f$  is defined by  $\text{char}_{\hbar, f}(\mathcal{M}) := \text{char}_f(\text{gr}_{\hbar}(\mathcal{M}))$ .
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$$\text{char}_{\hbar, f}(\mathcal{M}) \cap \text{SS}(F) \subset T_X^*X.$$

### Proposition:

Let  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})$  and let  $F \in \mathbf{D}_{\mathbb{R}-c}^{\text{b}}(\mathbb{C}_X^{\hbar})$ . Then  $(\mathcal{M}, F)$  is an elliptic pair over  $\mathbb{C}^{\hbar}$  if and only if  $(\text{gr}_{\hbar}(\mathcal{M}), \text{gr}_{\hbar}(F))$  is an elliptic pair over  $\mathbb{C}$ .

## Regularity for elliptic pairs over $\mathbb{C}^{\hbar}$

Lemma:

Let  $\mathcal{M} \in \mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})$ . Then:

- (a)  $\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathbf{L}} \mathcal{H}$  is cohomologically complete.

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- (a)  $\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathbf{L}} \mathcal{K}$  is cohomologically complete.
- (b)  $\text{SS}(\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathbf{L}} \mathcal{K}) \subset \text{char}_f(\mathcal{M})$ .

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- (b)  $\text{SS}(\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\text{L}} \mathcal{K}) \subset \text{char}_f(\mathcal{M})$ .

**Theorem:** [D.R.]

Let  $(\mathcal{M}, F)$  be an  $f$ -elliptic pair over  $\mathbb{C}^{\hbar}$ . Then the canonical morphism below is an isomorphism:

$$F \otimes_{\mathbb{C}_X^{\hbar}}^{\text{L}} (\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\text{L}} \mathcal{K}) \xrightarrow{r} \mathbf{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(\mathbf{D}'F, \mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\text{L}} \mathcal{K}).$$

**Proof:**

Recall that for  $G, F \in \mathbf{D}^{\text{b}}(\mathbb{C}_X^{\hbar})$  the canonical morphism

$\mathbf{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(G, \mathbb{C}_X^{\hbar}) \otimes_{\mathbb{C}_X^{\hbar}}^{\text{L}} F \rightarrow \mathbf{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(G, F)$  is an isomorphism if  $\text{SS}(F) \cap \text{SS}(G) \subset T_X^*X$ .



## Regularity for elliptic pairs over $\mathbb{C}^{\hbar}$

**Lemma:**

Let  $F, G \in \mathrm{D}^b(\mathbb{C}_X)$ . Then, there is a natural bifunctorial morphism in  $\mathrm{D}^b(\mathbb{C}_X^{\hbar})$ :

$$F \otimes G^{\mathrm{R}\hbar} \xrightarrow{\alpha} (F \otimes G)^{\mathrm{R}\hbar}.$$

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**Theorem:** [D.R.]

Consider an elliptic pair of the form  $(\mathcal{M}, F^{\hbar})$  over  $\mathbb{C}^{\hbar}$  such that  $F \in \mathbf{D}_{\mathbb{R}\text{-c}}^b(\mathbb{C}_X)$ . Then there is a commutative diagram of isomorphisms in  $\mathbf{D}^b(\mathbb{C}_X^{\hbar})$ :

$$\begin{array}{ccc}
 \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{M}, \mathrm{D}'_{\mathbb{C}^{\hbar}} F^{\hbar} \otimes_{\mathbb{C}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X^{\hbar}) & \xrightarrow{\bar{\alpha}} & \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{M}, (\mathrm{D}'_{\mathbb{C}} F \otimes \mathcal{O}_X)^{\mathrm{R}\hbar}) \\
 \downarrow \bar{r}_1 & & \downarrow \bar{r}_2 \\
 \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{M}, \mathrm{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(F^{\hbar}, \mathcal{O}_X^{\hbar})) & \xrightarrow{\sim} & \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{M}, \mathrm{R}\mathcal{H}om(F, \mathcal{O}_X)^{\mathrm{R}\hbar}).
 \end{array}$$

## Finiteness for elliptic pairs over $\mathbb{C}^{\hbar}$

**Theorem:** [D.R.]

Let  $(\mathcal{M}, F)$  be a good  $f$ -elliptic pair over  $\mathbb{C}^{\hbar}$  with  $f$ -proper support.

Then,  $\underline{f}_*^{\hbar}(\mathcal{M} \otimes_{\mathbb{C}^{\hbar}_X}^{\mathbb{L}} F)$  belongs to  $\mathbf{D}_{\text{good}}^{\text{b}}(\mathcal{D}_Y^{\hbar})$ .

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We get that  $\mathcal{N} := f_{!}^{\hbar}(\mathcal{M} \otimes_{\mathbb{C}^{\hbar}}^{\mathbb{L}} F)$  is cohomologically complete as a consequence of the regularity theorem.

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Applying Schapira-Schneiders finiteness result to the elliptic pair  $(\text{gr}_{\hbar}(\mathcal{M}), \text{gr}_{\hbar}(F))$  we conclude that  $\text{gr}_{\hbar}(\mathcal{N})$  belongs to  $\mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_Y)$ . Hence,  $\mathcal{N}$  belongs to  $\mathbf{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_Y^{\hbar})$ .

## Duality for elliptic pairs over $\mathbb{C}^{\hbar}$

From now on we shall consider the case  $f = a_X$ .

Denote by  $\omega_X$  the dualizing sheaf on  $X$ :  $\omega_X \simeq a_X^! \mathbb{C}^{\hbar} \simeq \mathbb{C}^{\hbar}[2d_X]$ .

Since there is a morphism of functors  $a_X! a_X^! \rightarrow id$ , we get a canonical morphism:  $R\Gamma_c(X; \omega_X) \rightarrow \mathbb{C}^{\hbar}$ .

Given a complex  $I \in D^b(\mathbb{C}^{\hbar})$ , denote by  $I^*$  the dual  $I^* := R\mathrm{Hom}_{\mathbb{C}^{\hbar}}(I, \mathbb{C}^{\hbar})$ .

### Lemma:

For each  $\mathcal{M} \in D^b(\mathcal{D}_X^{\hbar \text{op}})$ , there is a canonical morphism in  $D^b(\mathbb{C}^{\hbar})$ :

$$\varphi : R\Gamma(X, R\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{M}, \Omega_X^{\hbar}[d_X])) \rightarrow (R\Gamma_c(X; \mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^L \mathcal{O}_X^{\hbar}))^*.$$

## Duality for elliptic pairs over $\mathbb{C}^{\hbar}$

### Proof:

The morphism  $\varphi$  is defined by the sequence of morphisms below:

$$\begin{aligned}
 & \mathrm{R}\Gamma(X; \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(\mathcal{M}, \Omega_X^{\hbar}[d_X])) \\
 \rightarrow & \mathrm{R}\Gamma(X; \mathrm{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X^{\hbar}, \Omega_X^{\hbar}[d_X] \otimes_{\mathcal{D}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X^{\hbar})) \\
 \rightarrow & \mathrm{R}\Gamma(X; \mathrm{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X^{\hbar}, \mathbb{C}_X^{\hbar}[2d_X])) \\
 \simeq & \mathrm{R}\Gamma(X; \mathrm{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(\mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X^{\hbar}, \omega_X)) \\
 \rightarrow & \mathrm{R}\mathcal{H}om_{\mathbb{C}^{\hbar}}(\mathrm{R}\Gamma_c(X; \mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X; \mathrm{R}\Gamma(X; \omega_X))) \\
 \rightarrow & \mathrm{R}\mathcal{H}om_{\mathbb{C}^{\hbar}}(\mathrm{R}\Gamma_c(X; \mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X; \mathbb{C}^{\hbar})) \\
 = & (\mathrm{R}\Gamma(X; \mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}}^{\mathrm{L}} \mathcal{O}_X))^*.
 \end{aligned}$$



## Duality for elliptic pairs over $\mathbb{C}^{\hbar}$

**Theorem:** [D.R.]

Let  $(\mathcal{M}, F)$  be a good elliptic pair over  $\mathbb{C}^{\hbar}$  with compact support.

Then, there is an isomorphism in  $\mathbf{D}_f^b(\mathbb{C}^{\hbar})$ :

$$\varphi : \mathbf{R}\Gamma(X; \mathbf{R}\mathcal{H}om_{\mathcal{D}_X^{\hbar}}(F^{\mathbf{L}} \otimes_{\mathbb{C}_X^{\hbar}} \mathcal{M}, \Omega_X^{\hbar}[d_X])) \xrightarrow{\sim} (\mathbf{R}\Gamma(X; F^{\mathbf{L}} \otimes_{\mathbb{C}_X^{\hbar}} \mathcal{M} \otimes_{\mathcal{D}_X^{\hbar}} \mathcal{O}_X^{\hbar}))^*.$$

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**Proof:**

The morphism is obtained by applying the previous lemma to the  $\mathcal{D}_X^{\hbar}$ -module  $F^{\mathbf{L}} \otimes_{\mathbb{C}_X^{\hbar}} \mathcal{M}$ . By the finiteness theorem both sides of  $\varphi$  are objects of  $\mathbf{D}_f^b(\mathbb{C}^{\hbar})$ . Since  $\mathrm{gr}_{\hbar} : \mathbf{D}_f^b(\mathbb{C}^{\hbar}) \rightarrow \mathbf{D}_f^b(\mathbb{C})$  is a conservative functor, it is enough to prove that  $\mathrm{gr}_{\hbar}(\varphi)$  is an isomorphism. But, by construction,  $\mathrm{gr}_{\hbar}(\varphi)$  is an isomorphism by the duality theorem of Schapira and Schneiders.

## Example 1:

Let  $\mathbb{K}$  be either  $\mathbb{C}$  or  $\mathbb{C}^{\hbar}$ . Then  $\text{SS}(\mathbb{K}_X) = T_X^*X$  and  $(\mathcal{M}, \mathbb{K}_X)$  is an elliptic pair over  $\mathbb{C}^{\hbar}$ , for every  $\mathcal{M} \in \text{D}_{\text{coh}}^{\text{b}}(\mathcal{D}_X^{\hbar})$ .

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In particular, as a corollary of finiteness and duality theorems, we conclude that if  $\mathcal{M}$  is a good  $\mathcal{D}_X^{\hbar}$ -module with compact support, then the complex of global solutions  $\text{R}\Gamma(X, \text{Sol}_{\hbar}(\mathcal{M}))$  has finitely generated cohomology over  $\mathbb{C}^{\hbar}$  and it is isomorphic to the dual of the complex  $\text{R}\Gamma(X, \Omega_X[d_X] \otimes_{\mathcal{D}_X}^{\text{L}} \mathcal{M})$ .

## Example 2:

In particular if we consider a coherent  $\mathcal{O}_X^{\hbar}$ -module  $\mathcal{F}$ , then

$(\mathcal{F} \overset{\mathbb{L}}{\otimes}_{\mathcal{O}_X^{\hbar}} \mathcal{D}_X^{\hbar}, \mathbb{C}_X^{\hbar})$  is an elliptic pair over  $\mathbb{C}^{\hbar}$ .

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Since  $\text{char}_{\hbar}(\mathcal{O}_X^{\hbar}) = T_X^* X$ ,  $(\mathcal{O}_X^{\hbar}, F)$  is an elliptic pair over  $\mathbb{C}^{\hbar}$ , for every  $F \in \mathbf{D}_{\mathbb{R}-c}^b(\mathbb{C}_X^{\hbar})$ .

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## Example 4:

Let  $X$  be the complexification of a real analytic manifold  $M$ .

- We say that  $\mathcal{M}$  is an elliptic  $\mathcal{D}_X^\hbar$ -module if  $(\mathcal{M}, \mathbb{C}_M^\hbar)$  is an elliptic pair over  $\mathbb{C}^\hbar$ .
- We say that  $P \in \mathcal{D}_X^\hbar$  is an elliptic operator if  $\mathcal{D}_X^\hbar / \mathcal{D}_X^\hbar P$  is an elliptic  $\mathcal{D}_X^\hbar$ -module.

# Real analytic functions and hyperfunctions with $\hbar$ -parameter

Let  $X$  be the complexification of a real analytic manifold  $M$ . The classical sheaves of real analytic functions and hyperfunctions on  $X$  are respectively defined by:

$$\mathcal{A}_M := \mathbb{C}_M \otimes_{\mathbb{C}_X} \mathcal{O}_X,$$

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In the  $\hbar$ -framework, we can consider the sheaves:

$$\mathcal{A}_{M,\hbar} := \mathbb{C}_M^{\hbar} \overset{\mathbf{L}}{\otimes}_{\mathbb{C}_X^{\hbar}} \mathcal{O}_X^{\hbar}, \quad \mathcal{A}_M^{\hbar} := (\mathbb{C}_M \otimes_{\mathbb{C}_X} \mathcal{O}_X)^{\hbar};$$

$$\mathcal{B}_{M,\hbar} := \mathbf{R}\mathcal{H}om_{\mathbb{C}_X^{\hbar}}(\mathbf{D}'_{\mathbb{C}^{\hbar}}\mathbb{C}_M^{\hbar}, \mathcal{O}_X^{\hbar}), \quad \mathcal{B}_M^{\hbar} := \mathbf{R}\mathcal{H}om_{\mathbb{C}_X}(\mathbf{D}'_{\mathbb{C}}\mathbb{C}_M, \mathcal{O}_X)^{\hbar}.$$

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### Proposition:

There is a monomorphism  $i : \mathcal{A}_{M,\hbar} \hookrightarrow \mathcal{A}_M^{\hbar}$  and an isomorphism  $\mathcal{B}_{M,\hbar} \simeq \mathcal{B}_M^{\hbar}$ .

# Real analytic functions and hyperfunctions with $\hbar$ -parameter

Corollary: [D.R.]

Let  $\mathcal{M}$  be an elliptic  $\mathcal{D}_X^\hbar$ -module on  $M$ .

(a) There is a commutative diagram of isomorphisms in  $D^b(\mathbb{C}^\hbar_X)$ :


$$\begin{array}{ccc}
 \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^\hbar}(\mathcal{M}, \mathcal{A}_{M, \hbar}) & \xrightarrow{\sim} & \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^\hbar}(\mathcal{M}, \mathcal{A}_M^\hbar) \\
 & \searrow \sim & \downarrow \sim \\
 & & \mathrm{R}\mathcal{H}om_{\mathcal{D}_X^\hbar}(\mathcal{M}, \mathcal{B}_M^\hbar).
 \end{array}$$

(b) If  $M$  is compact and  $\mathcal{M} \in D_{\mathrm{gd}}^b(\mathcal{D}_X^\hbar)$ , then

$\mathrm{R}\Gamma(M; \mathrm{R}\mathcal{H}om_{\mathcal{D}_M^\hbar}(\mathcal{M}, \mathcal{B}_{M, \hbar}))$  belongs to  $D_f^b(\mathbb{C}^\hbar)$  and is

isomorphic to the dual of  $\mathrm{R}\Gamma(M; \Omega_X^\hbar \overset{\mathrm{L}}{\otimes}_{\mathcal{D}_X^\hbar} \mathcal{M})$ .

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